

<b>FORM PTO-1449/A and B (Modified)</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				APPLICATION NO.: 09/709,989	ATTY. DOCKET NO.: 000220/70002/SJH/DPM
				FILING DATE: November 10, 2000	
				APPLICANT: Giorgos C. Zacharia	
				GROUP ART UNIT: 2161	EXAMINER: Not yet assigned
Sheet	1	of	2		

#### U.S. PATENT DOCUMENTS

Examiner's Initials#	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYY
		Number	Kind Code		
<i>[Signature]</i>	R1	6,073,117		Oyanagi et al	06/06/2000
<i>[Signature]</i>	R2	5,768,142		Jacobs	06/16/1998
<i>[Signature]</i>	R3	5,732,400		Mandler et al.	03/24/1998
<i>[Signature]</i>	R4	5,550,746		Jacobs	08/27/1996

#### OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials#	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)
<i>[Signature]</i>	R5	N. Cesa-Bianchi, et al., "How to Use Expert Advice", Journal of the ACM, Vol. 44(3), pp. 427-485, May 1997	
<i>[Signature]</i>	R6	C. Dellarocas, "Immunizing Online Reputation Reporting Systems Against Unfair Ratings and Discriminatory Behavior", Proceedings of the 2 <sup>nd</sup> ACM Conference on Electronic Commerce, Minn. MN, October 17-20, 2000	
<i>[Signature]</i>	R7	J. Donath et al., "Identity and Deception in the Virtual Community", 1998, pp. 1-32	
<i>[Signature]</i>	R8	EBay Services: The Feedback Forum; <a href="http://pages.ebay.com/services/forum/feedback.html">http://pages.ebay.com/services/forum/feedback.html</a>	
<i>[Signature]</i>	R9	Epinions.com – FAQs: The Web of Trust, <a href="http://www.epinions.com/help/faq">http://www.epinions.com/help/faq</a> ;	
<i>[Signature]</i>	R10	Y. Freund, et al., "Using and Combining Predictors that Specialize", in Twentyninth Annual ACM Symposium on Theory of Computing, 1995	
<i>[Signature]</i>	R11	E. J. Friedman et al, "The Social Cost of Cheap Pseudonyms: Fostering Cooperation on the Internet, Proceedings of the 1998 Telecommunications Policy Research Conference	
<i>[Signature]</i>	R12	L. E. Foner, "Yenta: A Multi-Agent, Referral-Based Matchmaking System", First International Conference on Autonomous Agents (Agents'97), February , 1997	
<i>[Signature]</i>	R13	E. Glickman, "Paired Comparison Models with Time-Varying Parameters, Ph.D. Thesis, Harvard University, May 1993	
<i>[Signature]</i>	R14	M. Herbster et al., "Tracking the Best Expert", Journal of Machine Learning, Vol 32(2), August 1998	
<i>[Signature]</i>	R15	Internet Content Rating Association, web pages at <a href="http://www.rsac.org">http://www.rsac.org</a> , 09/17/01	
<i>[Signature]</i>	R16	R. Khare et al., "Weaving a Web of Trust", Summer of 1997, Issue of the World Wide Web Journal, Vol. 2, No. 3, pp. 77-112	
<i>[Signature]</i>	R17	P. Kollock, "The Production of Trust in Online Markets, Advances in Group Processes", Vol. 16, 1999	

Technology Center 2100

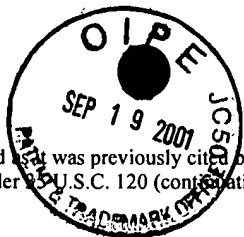
SEP 20 2001

RECEIVED

EXAMINER <i>[Signature]</i>	DATE CONSIDERED 12-4-02
--------------------------------	----------------------------

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. \_\_\_\_\_, filed \_\_\_\_\_, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).



<b>FORM PTO-1449/A and B (Modified)</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		APPLICATION NO.: 09/709,989	ATTY. DOCKET NO.: O00220/70002/SJH/DPM
		FILING DATE: November 10, 2000	
		APPLICANT: Giorgos C. Zacharia	
		GROUP ART UNIT: 2161	EXAMINER: Not yet assigned
Sheet	2	of	2

OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials#	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)
J	R18	H. Madsen et al., "Lecture Notes in Non-linear and Non-stationary Time Series Analysis", Institute of Mathematical Modelling (IMM), 1998, Chapter 9, pp. 193-208	
J	R19	S. P. Marsh, "Formalising Trust as a Computational Concept", Ph.D. Thesis, University of Stirling, April 1994	
J	R20	J. M. Reagle, Jr., "Trust in a Cryptographic Economy and Digital Security Deposits: Protocols and Policies", Master Thesis, MIT, May 1996.	
J	R21	P. Resnick, et al., "GroupLens: An Open Architecture for Collaborative Filtering of Netnews", Proceedings of ACM 1994 Conference on Computer Supported Cooperative Work, pp. 175-186	
J	R22	P. Resnick et al., "Trust Among Strangers in Internet Transaction: Empirical Analysis of eBay's Reputation System", Working Paper for the NBER Workshop on Empirical Studies of Electronic Commerce, pp. 1-26	
J	R23	U. Shardanand et al., "Social Information Filtering: Algorithms for Automating "Word of Mouth"", Proceedings of the CHI-95 Conference, Denver, CO, May 1995, pp. 1-12	
J	R24	G. Zacharia et al., "Dynamic Pricing in a Reputation-Brokered Agent-Mediated Marketplace", International Journal of Intelligent Systems in Accounting, Finance and Management, Special Issue on Agents, Vol. 9, (4) pp. 271-286, December 2000	
J	R25	G. Zacharia, "Collaborative Reputation Mechanisms for Online Communities", MIT Program of Media Arts and Sciences, School of Architecture and Planning, August 6, 1999	
J	R26	MyFICO – Your Source for Credit Scoring, web pages at <a href="http://www.myfico.com">http://www.myfico.com</a> , 09/05/2001	
J	R27	Better Business Bureau, Fairness in the Marketplace, web pages at <a href="http://search.bbb.org">http://search.bbb.org</a> , 09/05/01	

EXAMINER <i>John Smith</i>	DATE CONSIDERED 12-4-02
-------------------------------	----------------------------

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. \_\_\_\_\_, filed \_\_\_\_\_, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

[NOTE - Must provide a copy of any patent, publication, other information listed, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]

RECEIVED

SEP 20 2001

Technology Center 2100